

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of claims:

1. (currently amended) A method for managing concentration of debt, the method comprising:

determining a debt concentration threshold;

associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold; and

providing a company board of directors with ~~, or company representatives, with unilateral~~ authority to implement the condition and change a parameter of the debt instrument as the board of directors deems appropriate.

2. (original) A method according to claim 1, further comprising issuing the debt instrument with the associated condition.

3. (original) A method according to claim 1, further comprising changing at least one parameter of the debt instrument.

4. (original) A method according to claim 3, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

5. (original) A method according to claim 1, further comprising monitoring

concentration of debt instruments by entities.

6. (original) A method according to claim 1, further comprising changing the debt concentration threshold.

7. (original) A method according to claim 1, wherein the entity is an investor.

8. (original) A method according to claim 7, wherein the investor is an individual investor.

9. (original) A method according to claim 7, wherein the investor is an institutional investor.

10. (original) A method according to claim 1, wherein the entity is an affiliated group of investors.

11. (original) A method according to claim 1, wherein the entity is a group of investors acting in concert.

12. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.

13. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.

14. (original) A method according to claim 1, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

15. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict voting.

16. (original) A method according to claim 1, wherein the parameter changed by the

condition serves to restrict redemption of the debt instrument.

17. (original) A method according to claim 1, wherein the parameter changed by the condition serves to change the series of the debt instrument.

18-115. (canceled)

116. (currently amended) A method for managing concentration of debt, the method comprising:

determining a debt concentration threshold; and

associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold, wherein the changed parameter ~~has less than an infinite number of values~~ is a change of series of the debt instrument.

117. (currently amended) ~~A method according to claim 116,~~ A method for managing concentration of debt, the method comprising:

determining a debt concentration threshold; and

associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold, wherein the changed parameter is subordination of a debt instrument to another debt instrument.

118. (currently amended) ~~A method according to claim 116~~ A method for managing concentration of debt, the method comprising:

determining a debt concentration threshold; and

associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold, wherein the changed parameter is restriction of voting.

119 (currently amended) ~~A method according to claim 116,~~ A method for managing concentration of debt, the method comprising:

determining a debt concentration threshold; and

associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold, wherein the changed parameter serves to restrict redemption of the debt instrument

120: (cancelled)